

# T-type Inverter in both Continuous and Discontinuous Current Mode for Active Power Decoupling Capability

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**Abstract**— This paper proposes an active power decoupling (APD) method with both continuous and discontinuous current modes for a T-type inverter, which does not require additional components. The operation in continuous current mode (CCM) has uncontrollable periods of neutral point current for APD. On the other hand, the operation in discontinuous current mode (DCM) decreases efficiency due to an increase in the RMS value of the inductor current. The proposed method switches from CCM to DCM in the uncontrollable periods of the neutral current to reduce the inductor current RMS. However, the efficiency improvement effect is limited because the losses are too large in the DCM period. Therefore, the efficiency improvement method is applied to the CCM/DCM operation. The experimental result indicates that the efficiency improvement method improves the efficiency from 90.1% to 92.5%. In addition, the proposed CCM/DCM control reduces the second harmonic components of the input current by 90.1% compared with not using the APD operation.

**Keywords**—T-type inverter, Continuous current mode, Discontinuous current mode, Active power decoupling

## I. INTRODUCTION

Photovoltaic (PV) systems have recently been actively researched as a sustainable power solution. PV systems need power conditioning systems (PCS) to utilize PV-generated power [1]. Typically, the PCS consists of the DC/DC converter and the inverter in order to connect the PV panels to the single-phase AC grid. PV systems require PCS to have a low cost, a long lifetime, and a small volume.

Power pulsation occurs on the DC side at twice the grid frequency in a single-phase inverter. Power pulsation decreases the performance of the maximum power point tracking (MPPT). Hence, the bulky electrolytic capacitor is usually used in the DC link to absorb the power pulsation. However, electrolytic capacitors limit the lifetime of the power converter according to the Arrhenius law [2].

The active power decoupling (APD) compensates for the power pulsation with small buffer capacitors by the large fluctuation of capacitor voltage. As a result, APD replaces the electrolytic capacitance with small capacitors with no lifetime, such as film or ceramic capacitors [3]-[9]. Consequently, APD methods reduce the volume and extend the lifetime of the PCS.

However, the inductors and switches in the auxiliary circuit increase the volume.

The APD for a T-type inverter is proposed [10]-[11]. This method uses only the grid-tied inductor to control the output current and the neutral point current, so no auxiliary circuit is required. Reference [10] proposes the control method using continuous current mode (CCM) in the T-type inverter to achieve APD. The APD method with CCM controls the output current and the neutral point current using a shared inductor current. Thus, the neutral point current is limited to the output current. As a result, the pulsation remains in the DC input current under CCM operation. Reference [11] proposes the control method using discontinuous current mode (DCM) in the T-type inverter to achieve APD. The APD method with DCM independently controls the output current and the neutral point current by setting a zero current period. However, the efficiency with DCM decreases due to the increase in the RMS value of the inductor current.

This paper proposes a T-type inverter that operates in both CCM and DCM control for the active power decoupling capability. The proposed method uses the DCM only during the uncontrollable periods of the CCM. Therefore, CCM/DCM control achieves APD in all periods and improves efficiency compared to DCM. However, the efficiency improvement effect is limited due to the dominant loss during the DCM period. Hence, the efficiency improvement method is applied to CCM/DCM control. The efficiency improvement method consists of two parts. In (i), the neutral point current's switching pattern is changed to reduce the RMS value of the inductor current. In (ii), determine the CCM's switching frequency that minimizes losses based on the loss analysis. A 1-kW prototype evaluates the validity of the proposed method. As a result, the proposed CCM/DCM control reduces the second harmonic components of the input current by 90.1% compared with not using the APD operation. In addition, the efficiency improvement method improves the efficiency from 90.1% to 92.5%.

## II. ACTIVE POWER DECOUPLING METHOD

Figure 1 shows the configuration of a single-phase T-type inverter. The DC link capacitors  $C_1$  and  $C_2$  are used as energy buffers in a T-type inverter. Assuming that the power factor of

the output current is unity, the instantaneous output power  $p_{\text{out}}$  is expressed as

$$p_{\text{out}} = v_{\text{out}} i_{\text{out}} = V_{\text{out}} I_{\text{out}} - V_{\text{out}} I_{\text{out}} \cos(2\omega t) \quad (1),$$

where  $v_{\text{out}}$  is the output voltage,  $V_{\text{out}}$  is the RMS value of the output voltage,  $i_{\text{out}}$  is the output current,  $I_{\text{out}}$  is the RMS value of the output current, and  $\omega$  is the grid angular frequency.

Figure 2 shows the principle of active power decoupling. The DC input power should be constant, whereas the instantaneous output power oscillates at twice the grid frequency. The power pulsation is compensated by the charge and discharge of the buffer capacitor, as shown in Fig. 2. In order to control buffer power, the two capacitor voltages  $v_{c1}$  and  $v_{c2}$  fluctuate in opposite phase. The capacitor voltages  $v_{c1}$  and  $v_{c2}$  are expressed as

$$\begin{cases} v_{c1} = \frac{V_{\text{dc}}}{2} - V_c \sin(\omega t + \frac{\pi}{4}) \\ v_{c2} = \frac{V_{\text{dc}}}{2} + V_c \sin(\omega t + \frac{\pi}{4}) \end{cases} \left( V_c \leq \frac{V_{\text{dc}}}{2} \right) \quad (2),$$

where  $V_c$  is the amplitude of the capacitor voltage, and  $V_{\text{dc}}$  is the DC voltage. Note that the  $V_c$  must be lower than half of the  $V_{\text{dc}}$ . From (2), the capacitor currents  $i_{c1}$  and  $i_{c2}$  are expressed as

$$\begin{cases} i_{c1} = -\omega C_1 V_c \sin(\omega t - \frac{\pi}{4}) \\ i_{c2} = \omega C_2 V_c \sin(\omega t - \frac{\pi}{4}) \end{cases} \left( V_c \leq \frac{V_{\text{dc}}}{2} \right) \quad (3),$$

where  $C_1$  and  $C_2$  are the capacitance of the buffer capacitor. From (1)-(3), the amplitude of the capacitor voltage  $V_c$  is determined. Then,  $V_c$  is expressed as

$$V_c = \sqrt{\frac{P_{\text{out}}}{\omega C_1}} \quad (4).$$

From (3)-(4) and Kirchhoff's law, the neutral point current  $i_n$  is expressed as (5).

$$i_n = i_{c2} - i_{c1} = 2\sqrt{\omega C_1 P_{\text{out}}} \sin(\omega t - \frac{\pi}{4}) \quad (5).$$

The T-type inverter needs to control the neutral point current so that the capacitor voltage agrees with (2). As a result, the APD is achieved without the auxiliary circuit.

### III. CONVENTIONAL APD METHOD

#### A. Continuous Current Mode

Figure 3 shows the absolute value of the output current command and the neutral point current command. The CCM operation controls the neutral point current with a constant current flow on the output side. A part of the output current is controlled as the neutral point current. Hence, the neutral point current does not flow more than the output current. In addition, there are periods when the neutral point current does not match the command value due to the situation of the inverter output voltage command and capacitor voltages. In this paper,

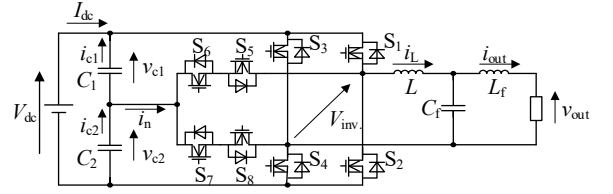


Fig. 1. Circuit configuration of a T-type inverter.

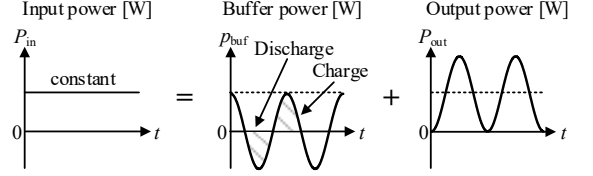


Fig. 2. Principle of active power decoupling.

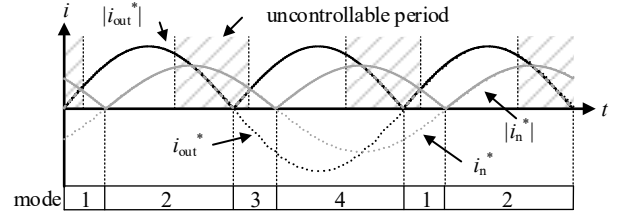


Fig. 3. Absolute value of output current command and neutral point current command.

the period when the neutral point current does not match the command value is called the uncontrollable period. If the neutral point current is controlled with priority over the output current during the non-compensated period, output current distortion occurs. Output current control is prioritized over neutral point current control during the uncontrollable period in order to prevent output current distortion.

Figure 4 shows the operating waveform for one switching cycle in the CCM operation. The T-type inverter outputs the capacitor voltage  $v_{cx}$  ( $v_{c1}$  or  $v_{c2}$ ) when the inductor current  $i_L$  flows through the neutral point into the buffer capacitor. In Fig. 4,  $D_{\text{out}}$  is the period when the T-type inverter outputs the DC voltage, and  $D_n$  is the period when the T-type inverter outputs the buffer capacitor voltage. From the duty ratio command, the inverter output voltage command  $v_{\text{inv}}^*$  is expressed as (6), and the neutral point current command  $|i_n^*|$  is expressed as (7).

$$v_{\text{inv}}^* = D_{\text{out}} V_{\text{dc}} + D_n v_{\text{cx}} \quad (6),$$

$$|i_n^*| = D_n |i_{\text{out}}^*| \quad (7).$$

From (6) and (7), the duty ratio commands  $D_{\text{out}}$  and  $D_n$  are expressed as

$$D_{\text{out}} = \frac{v_{\text{inv}}^* - D_n v_{\text{cx}}}{V_{\text{dc}}}, \quad D_n = \frac{|i_n^*|}{|i_{\text{out}}^*|} \quad (8).$$

The buffer capacitor output  $D_n v_{\text{cx}}$  exceeds  $v_{\text{inv}}^*$  when the output voltage command is low, and the neutral point current command is large in (8). In this period, the output voltage does not match the inverter output voltage command  $v_{\text{inv}}^*$  because  $D_{\text{out}} < 0$ . The duty ratio command is limited in order to match the inverter output voltage and command value when  $D_{\text{out}} < 0$ . The duty ratio commands in the limited situation are expressed as

$$D_{out} = 0, D_n = \frac{v_{inv.}}{v_{cx}} \quad (D_{out} < 0) \quad (9).$$

On the other hand, the percentage of  $D_n$  in a switching cycle is significant for large  $|i_n^*|$  situations. However, the  $D_n v_{cx}$  is small when the buffer capacitor voltage  $v_{cx}$  is low. Over-modulation ( $D_{out} + D_n > 1$ ) occurs in this case since the significant  $D_{out}$  is required. Hence, the duty ratio commands are limited to  $D_{out} + D_n = 1$  when there is an over-modulation. The duty ratio commands in the over-modulation are expressed as

$$D_{out} = 1 - D_n, D_n = \frac{v_{inv.} - V_{dc}}{v_{cx} - V_{dc}} \quad (D_{out} + D_n > 1) \quad (10).$$

Table 1 shows the switching table of the CCM operation. The CCM operation controls the neutral point current except during the uncontrollable period, according to Table 1 and (8)-(10).

Figure 5 shows the control block diagram of the CCM operation. The CCM operation usually calculates duty ratio command based on (8). The duty ratio commands are limited based on (9)-(10) when over-modulation or  $D_{out} < 0$ . Therefore, the neutral point current does not match the command value in the CCM operation. As a result, the pulsation remains in the DC input current under CCM operation.

### B. Discontinuous Current Mode

Figure 6 shows the operation waveforms for one switching cycle in the DCM operation. The DCM operation has a zero-current period, where the inductor current becomes zero and all the switching devices are turned off. For this reason, the interference of the two current controls is solved by flowing each current during the zero-current period of the other current. There are two switching patterns in the DCM operation. The neutral point current direction in Fig. 6(a) is the opposite direction for output current  $i_{out}$ . On the other hand, the neutral point current direction in Fig. 6(b) is the same direction for output current  $i_{out}$ . The Fig. 6(b) pattern reduces the RMS value of inductor current  $i_L$  compared to the pattern in Fig. 6(a).

Figure 7 shows the control block diagram of the DCM operation. The duty ratios  $d_1 \sim d_2$  are calculated from the inductance of the grid-tied inductor, the applied voltage, the current commands, and the switching period. The grid-tied inductor voltage fluctuates significantly during the one-switching cycle in the DCM operation. This is because two currents with different paths are controlled during the one switching cycle. Thus, discretization errors occur in both the output current  $i_{out}$  and neutral point current  $i_n$ . In order to reduce the discretization error, proportional control is applied for the DCM operation. Proportional control uses the low-side buffer capacitor voltage  $v_{c2}$  and the output current  $i_{out}$ . However, the peak and RMS values of the inductor current are more significant than those of the CCM operation. Therefore, the DCM operation decreases the efficiency compared to the CCM operation.

## IV. PROPOSED CCM/DCM CONTROL

### A. Switching the current mode

Figure 8 shows the waveforms of the current mode change in the CCM/DCM control. The proposed method switches from the CCM to the DCM in the uncontrollable periods of

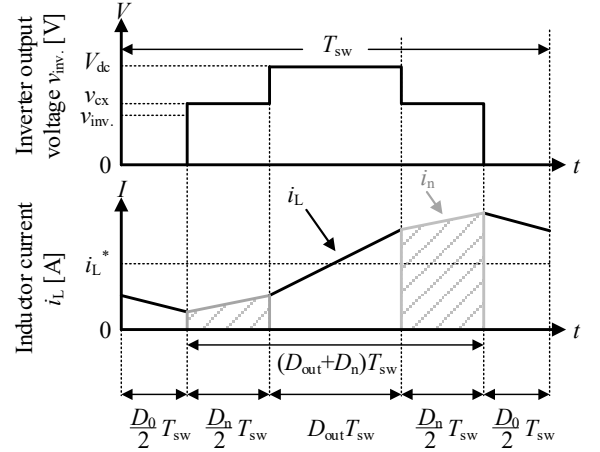


Fig. 4. Operating waveform in the CCM operation.

Table 1. Switching table of the CCM operation.

mode	control	$v_{inv.}$	$S_1$	$S_2$	$S_3$	$S_4$	$S_5$	$S_6$	$S_7$	$S_8$
1	$i_{out}^* +$	$V_{dc}$	$D_{out}$	off	off	ON	off	off	off	off
	$i_n^* -$	$v_{c2}$	off	off	off		off	$D_n$	off	off
	0 V	0	off	$D_0$	off		off	off	off	off
2	$i_{out}^* +$	$V_{dc}$	ON	off	off	$D_{out}$	off	off	off	off
	$i_n^* +$	$v_{c1}$		off	off	off	off	off	off	$D_n$
	0 V	0		off	$D_0$	off	off	off	off	off
3	$i_{out}^* -$	$-V_{dc}$	off	$D_{out}$	ON	off	off	off	off	off
	$i_n^* +$	$-v_{c1}$	off	off		off	$D_n$	off	off	off
	0 V	0	$D_0$	off		off	off	off	off	off
4	$i_{out}^* -$	$-V_{dc}$	off	ON	$D_{out}$	off	off	off	off	off
	$i_n^* -$	$-v_{c2}$	off		off	off	off	off	off	$D_n$
	0 V	0	off		off	$D_0$	off	off	off	off

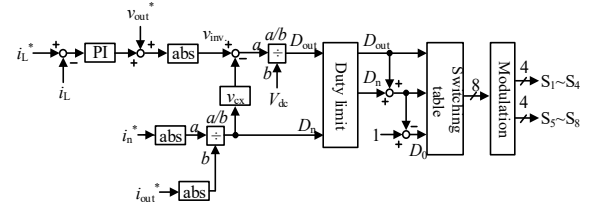
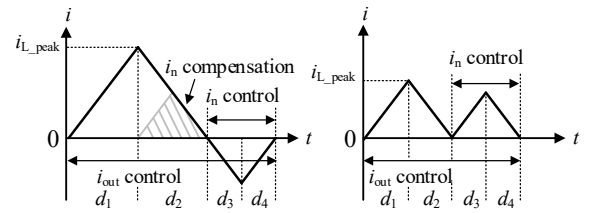


Fig. 5. Control block diagram of the CCM operation.



(a)  $i_{out}$  and  $i_n$  different polarity (b)  $i_{out}$  and  $i_n$  same polarity

Fig. 6. Operating waveform in the DCM operation.

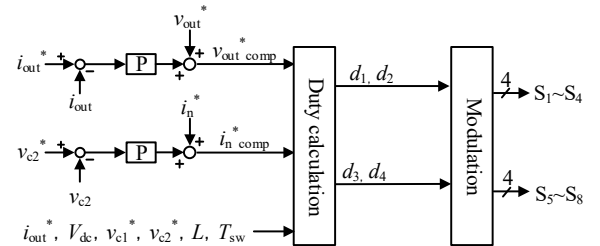


Fig. 7. Control block diagram of the DCM operation.

the CCM. As a result, the performance of the APD is improved compared to the CCM operation only. In addition, the RMS value of the inductor current is reduced compared to the DCM operation only. Consequently, the CCM/DCM control achieves APD in all periods and improves efficiency compared to DCM.

Figure 9 shows the control block diagram of the CCM/DCM control. The CCM/DCM control has separate controllers for each current mode. Each controller switches duty ratio commands based on the current mode-switching equation. The current mode-switching equation is expressed as

$$|v_{out}^*| - \frac{|i_n^*|}{|i_{out}^*|} V_{cx} \begin{cases} \geq 0: \text{CCM} \\ < 0: \text{DCM} \end{cases} \quad (11).$$

Current mode switching is performed at the time of each duty command update. In this paper, the update timing of the duty ratio command is synchronized with the bottom of the carrier. The error in switching timing to the DCM becomes significant when the carrier frequency of the CCM is low since the period of command value update depends on the carrier frequency. In order to reduce the error in switching timing, the carrier frequency of the CCM is set higher than the carrier frequency of the DCM.

Each current mode's operation ratio and loss characteristics affect efficiency in the CCM/DCM control. The CCM is dominated by switching losses in the turn-on and turn-off of switching devices. On the other hand, the DCM is dominated by conduction losses due to the high peak and RMS value of the inductor current. Therefore, the efficiency improvement method is applied to CCM/DCM control.

### B. Efficiency improvement in CCM

Grid-tied inductors are generally designed with a percent impedance  $\%Z_L$  of about 5% in the CCM operation. However, the CCM/DCM control requires using inductors with a lower percent impedance than typical grid-tied inductors. This is because the DCM operation requires a low percentage impedance of the grid-tied inductor. The switching ripple becomes large when the  $\%Z_L$  of the grid-tied inductor and the switching frequency during the CCM operation is low. As a result, there is a period when the inductor current flows in the opposite direction to the output current command. In order to prevent current flow in the opposite direction to the command value, the switching frequency during the CCM operation is set to 50 kHz in CCM/DCM control. The conventional CCM/DCM control causes high switching losses  $P_{sw}$  due to the 50 kHz switching frequency of the CCM operation. On the other hand, the switching losses  $P_{sw}$  decrease when the switching frequency of the CCM operation is reduced. However, the conduction losses  $P_{cond}$  increase because the inductor current flows in the opposite direction to the command value.

Therefore, there is a trade-off between  $P_{sw}$  and  $P_{cond}$  for the losses in the CCM operation. This trade-off depends on the switching frequency in the CCM operation. This paper determines the switching frequency that minimizes the sum of  $P_{sw}$  and  $P_{cond}$  based on the loss analysis. Improve the efficiency of the CCM operation in the CCM/DCM control by selecting the switching frequency with the lowest loss.

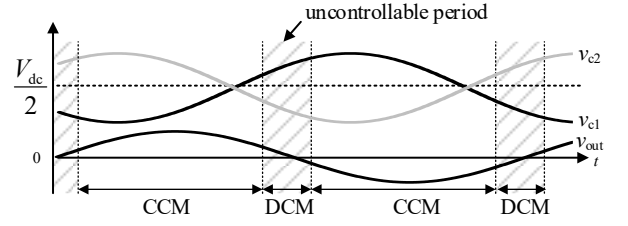


Fig. 8. Waveforms of current mode changing in the CCM/DCM control.

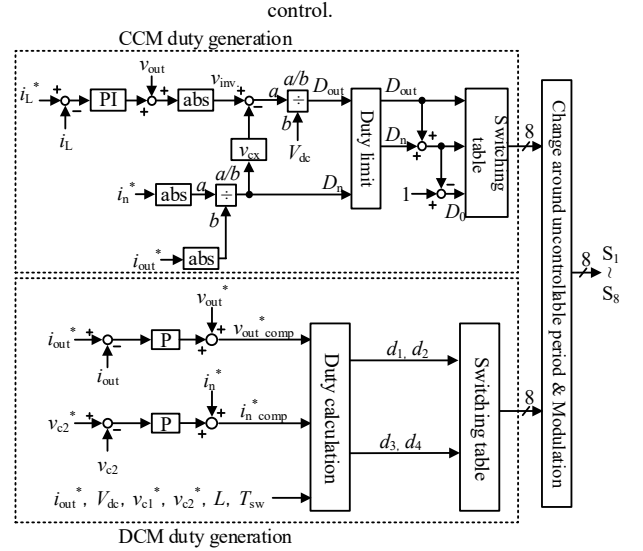


Fig. 9. Control block diagram of the CCM/DCM control.

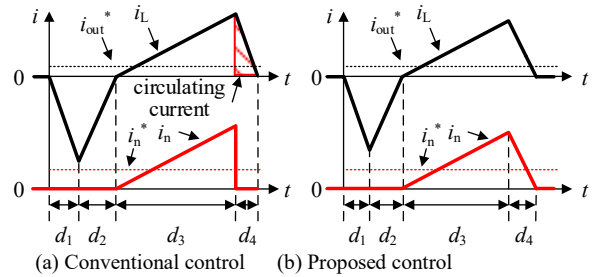


Fig. 10. Neutral point current in the DCM operation.

### C. Efficiency improvement in DCM

Figure 10 shows the conventional and proposed waveforms for the neutral point current under the DCM operation. This figure focuses on the period when the output current command  $i_{out}^*$  and neutral point current command  $i_n^*$  are positive. Furthermore, Fig. 10 shows the period when the output current command  $i_{out}^*$  is larger than the neutral point current command  $i_n^*$ . The current controller adjusts the inductor current in the  $d_1$  and  $d_2$  periods when the output current flows to the grid-tied inductor more than the command value by the neutral point current control. As a result, the average value of the inductor current matches the output current command value. The conventional method controls the neutral point current in the sawtooth pattern in Fig. 10(a). In this method, the circulating current flows from the grid-tied inductor during the  $d_4$  period. The circulating current increases during the  $d_4$  period around the peak of the neutral point current command  $i_n^*$ . Thus, the RMS value of the inductor current also increases during the  $d_1$  and  $d_2$  periods.

The proposed method controls the neutral point current in the triangular pattern in Fig. 10(b). In this method, the circulating current does not flow from the grid-tied inductor

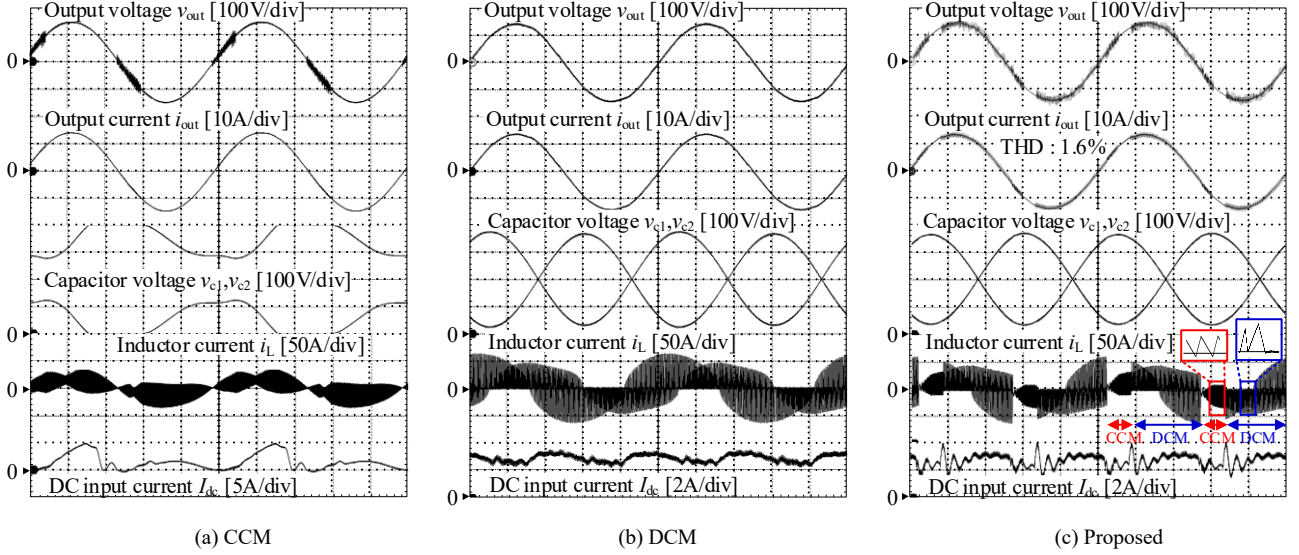


Fig. 11. Experimental results at 1 kW in each current mode.

during the  $d_4$  period. Thus, the triangular pattern reduces the inductor current flowing during the  $d_1$  and  $d_2$  periods. In addition, the triangular pattern reduces the RMS value of the neutral point current compared to the sawtooth pattern. As a result, the efficiency of the DCM operation in the CCM/DCM control is improved.

## V. EXPERIMENTAL RESULT

In order to verify the validity, a 1-kW prototype tests the proposed method. Table 2 shows the experimental conditions. From (4), design the capacitance of the buffer capacitor. In this paper, the buffer capacitor is 120  $\mu\text{F}$ , so the amplitude of the capacitor voltage  $V_c$  is 80% of  $V_{dc}/2$ .

Figure 11 shows the experimental results for each current mode. The  $v_{c1}$  and  $v_{c2}$  are unbalanced due to the uncontrollable period with CCM operation in Fig. 11(a). Thus, the pulsation remains in the DC input current under the CCM operation. From Fig. 11(b), the capacitor voltages  $v_{c1}$  and  $v_{c2}$  fluctuate in opposite phases by controlling the neutral point current. As a result, the DCM operation compensates for pulsation in the DC input current, although the inductor current is increased. The inductor current in the CCM/DCM control contains the CCM operation and the DCM operation. As a result, the CCM/DCM control simultaneously compensates the pulsation components of the DC input current with a low inductor current in Fig. 11(c). Switching between the CCM operation and the DCM operation is performed without current distortion, so the output current THD in the CCM/DCM control is 1.6%.

Figure 12 shows the loss analysis result in the CCM operation period. The circuit simulator PLECS is used for the analysis. For this analysis, the thermal model is created from the datasheet of the switching device (SCT3022KL) to calculate the conduction losses and the switching losses. The analysis changes the switching frequency from 10 kHz to 50 kHz. The sum of the conduction losses and the switching losses is minimized at the switching frequency of 20 kHz in Fig.12. Thus, the switching frequency is set to 20 kHz during the CCM operation in the CCM/DCM control.

Figure 13 shows the DC input current harmonic analysis results for each current mode. The CCM operation reduces the second harmonic components by 68.5% because of the

Table 2. Experimental condition.

Parameter	Symbol	Value
Output power	$P_{out}$	1 kW
Input voltage	$V_{dc}$	400 V
Output voltage	$V_{out}$	100 V
Output frequency	$f_{out}$	50 Hz
Compensated capacitor	$C_1, C_2$	120 $\mu\text{F}$
Grid-tied inductor	$L_1$	95 $\mu\text{H}$ (%Z 0.3%)

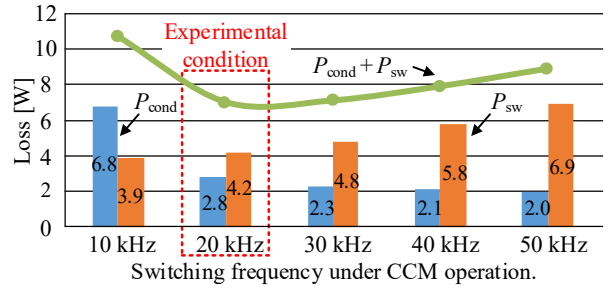


Fig. 12. Loss analysis results in the CCM operation period.

uncontrollable periods for neutral point current. In contrast, the second harmonic components are reduced by nearly 90% in the CCM/DCM control and the DCM operation because the neutral point current agrees with the command value for the APD operation in all periods.

Figure 14 shows the efficiency characteristics with only CCM operation and only DCM operation. The DCM operation with the efficiency improvement method improves the efficiency from 89.0% to 91.3% at 1 kW compared to the conventional DCM operation. In this case, the proposed DCM operation reduces the loss by 22.9% compared to the conventional DCM operation. On the other hand, the CCM operation with the efficiency improvement method improves the efficiency from 95.7% to 96.3% at 1 kW compared to the conventional CCM operation. In addition, the proposed CCM operation reduces the loss by 14.5% compared to the conventional CCM operation.

Figure 15 shows the efficiency characteristics with conventional and proposed CCM/DCM control. The proposed CCM/DCM control improves the efficiency from 90.1% to

92.5% at 1 kW compared to the conventional CCM/DCM control. In addition, the proposed CCM/DCM control reduces the loss by 14.5% compared to the conventional CCM/DCM control. Fig. 14 and Fig. 15 indicate that efficiency improvement methods contribute more to the efficiency improvement of CCM/DCM control in the DCM operation than in the CCM operation. However, the efficiency of the proposed CCM/DCM control is similar to the efficiency of the DCM operation. This is because the DCM operation accounts for 74% of one cycle under the experimental conditions of this paper.

Figure 16 shows the loss analysis results with conventional and proposed CCM/DCM control. The proposed CCM/DCM control reduces the conduction loss of the body diode in the inverter-side switch by 53.5%. This is because the efficiency improvement method of the DCM operation reduces the circulating current.

## VI. CONCLUSION

This paper proposed the active power decoupling method based on the CCM/DCM operation for a T-type inverter. The CCM/DCM control uses the DCM only during the uncontrollable periods of the CCM to achieve active power decoupling in all periods and improve efficiency compared to DCM. As the experimental result, the THD of the output current was 1.6% in the CCM/DCM control. In addition, the second harmonic component of the DC input current was reduced by 94.2%. Furthermore, the efficiency improvement method improved the efficiency from 90.1% to 92.5% and reduced losses by 26.2%. The range of CCM operations needs to be extended for further efficiency improvement. In future work, the control method to expand the operating range of the CCM operation will be considered.

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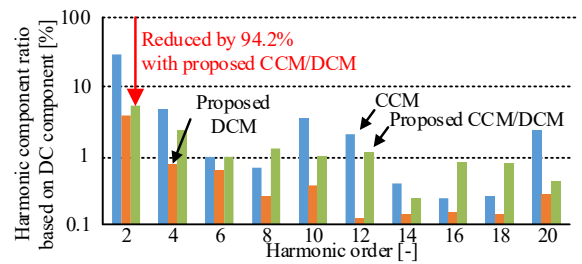


Fig. 13. Harmonic components of input current in each current mode.

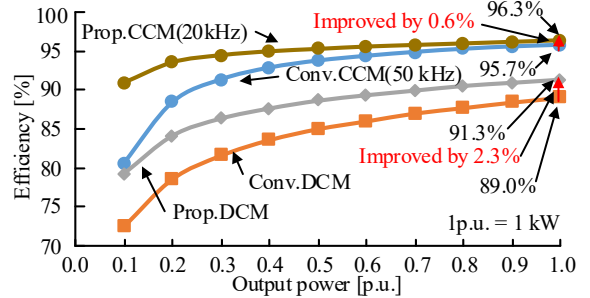


Fig. 14. Efficiency characteristics with only CCM operation and only DCM operation.

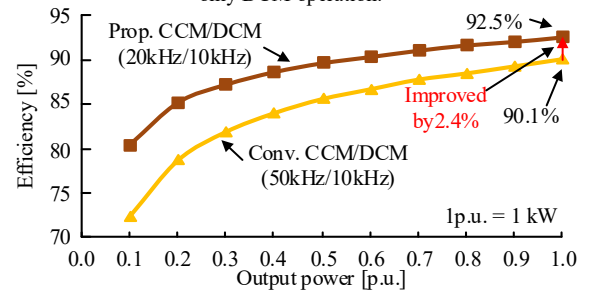


Fig. 15. Efficiency characteristics with conventional and proposed CCM/DCM control.

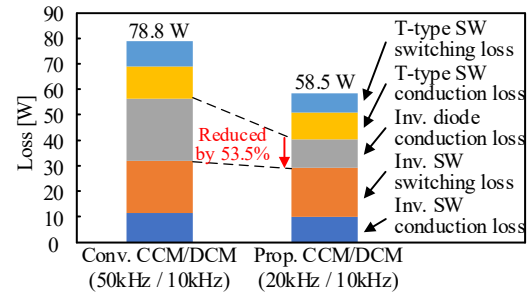


Fig. 16. Loss analysis results with conventional and proposed CCM/DCM control.

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